

PATENT

Our Docket: P-UW 3570

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Osborne and Ramesh)
Serial No.: 09/323,738)
Filed: June 1, 1999)
For: COMPOSITIONS AND METHODS FOR)
TREATING DIABETES)

Examiner: Not Yet Known

Group Art Unit: 1646

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 24, 1999



ASST. COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

By David A. Gay, Reg. No. 39,200

August 24, 1999
Date of Signature



MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed June 28, 1999, enclosed are:

- X 1. A copy of the Notice to File Missing Parts.
- X 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- X 4. An executed Verified Statement (Declaration) Claiming Small Entity Status.
- X 5. An executed Power of Attorney for Patent Application by Assignee.

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	40-20	=	20	x	\$9	\$18	= \$180	\$
INDEPEN- DENT CLAIMS	4-3	=	1	x	\$39	\$78	= \$39	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES <u>X</u> NO					\$130	\$260	= \$0	\$
					BASIC FEE		\$380	\$760
					TOTAL FEE		\$599	

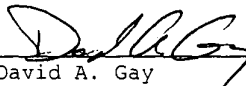
- X 6. A check in the amount of \$664.00 to cover the filing fee of \$599.00 and the surcharge fee of \$65.00.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.

Inventor: Osborne and Ramesh
Serial No.: 09/323,738
Filed: June 1, 1999
Page 2



X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

By: 
David A. Gay
Reg. No. 39,200



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

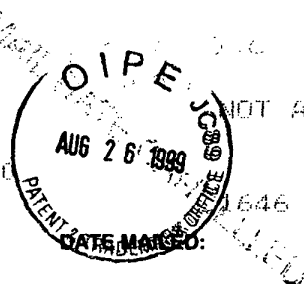
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEC 10K #
#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/323.738 06/01/99 OSBORNE W P-UW-3570

0242/0628
CATHRYN CAMPBELL
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE SUITE 700
SAN DIEGO CA 92122



06/28/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1326.00

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 700.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ 300.00 for 20 total claims over 20.

\$ 18.00 for 1 independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(a), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Properly filed as
Customer Service Center
Initial Patent Examination Division (703) 308-1202



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